

Douglas Gill, CFP

Co-Founder, Chief Executive Officer / Co-Chief Investment Officer

After several years with a Dallas money management firm and a New York investment bank, Doug Gill joined a full-service financial advisory firm in 1991 as an associate and later became a principal in the firm. Doug then formed Gill Capital Management, Inc. in 1999 to focus exclusively on improving after-tax investment results for affluent individuals and institutional clients. Doug brings extensive portfolio management experience to his clients including options trading strategies, technical analysis, and broad knowledge in global investment trends.

Past president of the Dallas/Ft. Worth Society of Certified Financial Planners, Doug also is involved with the profession at the national level as a member of the Financial Planning Association's Ethics Committee and served on the Institute of Certified Financial Planners' committee on Practice Standards. In addition to church-related volunteer work, Doug has served on the board of directors of several non-profit organizations including Rotary Club of Park Cities, Interfaith Housing Coalition and the S.M.U. Lambda Chi Alpha Educational Foundation.

A graduate of the U.S. Marine Corps Officer Candidates School, Doug earned a B.S. in Economics and a B.A. in Philosophy from Southern Methodist University. Continuing his professional education, he earned the mark of Certified Financial Planner in 1994 and is currently pursuing the mark of Chartered Financial Analyst.

Doug holds various licenses and registrations with the National Association of Securities Dealers, U. S. Securities and Exchange Commission, and Texas State Securities Board. He served on the Advisory Board for a mutual fund company based in the Washington D.C. area and serves as an Arbitrator for the NASD dispute resolution program. Gill is frequently quoted in national and regional publications such as the Wall Street Journal, Dallas Morning News, Miami Herald, San Francisco Examiner, Arizona Republic, Dow Jones Investment Advisor, and Investment News magazine.

Michael E. Lester

Co-Founder, President

Mike Lester began his financial career in 1983 as a securities broker with Prudential-Bache Securities serving institutional clients through the Ft. Worth, Texas office. In 1991, Mr. Lester became a principal in the investment firm of Sulphur River Corporation, and in 1994 formed Oil & Gas Producers, Inc., specializing in direct investments in oil and gas properties. In 1997, Mike formed a Registered Investment Advisor, Bledsoe Lester Corporation, and since then has been providing investment portfolio management services to affluent individuals and retirement plans.

In addition to serving as President of SummitAlliance Investment Group, L.L.C., Mike is the Chief Financial Officer of Summit Alliance Capital, L.L.C. and Registered Financial Operations Principal of SIG Securities, L.L.C. He serves as a director of Benefit Harbor, a web-based provider of human resource solutions.

Mr. Lester was born in Peoria, Illinois and graduated with B.S. in Business degree from Bradley University in 1983. He holds various licenses and registrations with the National Association of Securities Dealers and the Texas State Securities Board.

Christopher K. High

Executive Vice President, Co-Chief Investment Officer

Chris has 10 years of industry experience, including 6 years at Deutsche Bank Alex Brown, where he specialized in portfolio management, institutional trading, corporate services, and hedging and monetization of large stock holdings. Prior to joining SummitAlliance, Chris was a Financial Consultant with Smith Barney, where he worked with high net worth individuals and institutions. Chris has also served as Chief Investment Officer for a family office in Pittsburgh, Pennsylvania where he directed investment management and private equity transactions for a portfolio of over \$200 million.

Chris earned a degree in Political Economics from Princeton University, where he also lettered in football. He and his wife, Elise, live in Dallas.

Bradley Ness, MBA, CFP, ATA, EA

Chief Marketing Officer / National Sales Manager

As Chief Marketing Officer for SummitAlliance Investment Group, Bradley Ness is responsible for increasing assets under management with a focus on successful retirement strategies. His emphasis on proven risk reduction techniques are invaluable to plan trustees and retirees who are trying to effectively manage risk in their retirement accounts.

Prior to SummitAlliance, Brad spent nine productive years as a Regional Vice-President of BTS Asset Management. He set the company record with new business of \$500 million in fee-based accounts through independent financial advisors across the country.

Before working at BTS, he successfully automated the development of comprehensive financial plans for a fee-based financial planning firm. Additionally, Brad has worked as a "Senior Officer" of a well-known Investment Advisory Firm, and has had several years of experience in fee-based financial planning. Publicly, he has been a featured speaker on several local Boston radio and cable TV shows, and has hosted numerous client investment seminars.

Mr. Ness is qualified to offer financial expertise in a number of areas. He is a Certified Financial Planner, Accredited Tax Advisor, and an Enrolled Agent. He is a graduate of Northeastern University with a Bachelor of Science degree in Computer Engineering in 1985 and a Master of Business Administration degree in 1987.

Stan R. Hall, CPA

Chief Compliance Officer

After 15 years serving as a controller for the Gaylord Entertainment Company, Mr. Hall transitioned into the financial services industry in 1996. His background as a CPA has proven to greatly enhance his ability to advise his own clients as well as fulfill duties as a compliance officer.

Born and educated in Oklahoma, Mr. Hall moved to Texas in 1982. Except for a brief stay in Tennessee, he has been a resident of the Dallas, TX area since that time. Married to his wife, Pam, in 1989 they have one son, Steven.

Mr. Hall joined Summit Alliance Investment Group in December, 2004 as Chief Compliance Officer. His financial services career began in 1996 with Primerica Financial Services. In 1997 he joined the accounting firm of Hopson & Associates where he focused on public accounting and through Brokers Transactions Services, Inc., provided financial planning and investment advice. In 1999 he joined Transamerica Financial Advisors where, in 2003, he became the OSJ principal of their Dallas office responsible for compliance oversight of 37 registered representatives. He currently serves on the board of the non-profit organization, LifeQuest Essentials, Inc., dedicated to helping teenage boys who have been in trouble with the law.

Mr. Hall graduated from the University of Central Oklahoma in 1981 with a degree in accounting. He passed the CPA exam in 1984 and now holds series 7, 24, 27, 51, and 63 securities licenses as well as the Texas Group One Insurance License. He is a General Securities Principal, an Office of Supervisory Jurisdiction, and a Registered Investment Advisor Associate.

Charles H. Spitzer, CPA

Chief Financial Officer

Charles Spitzer began his career 1977 with the international CPA firm of Peat Marwick, now KPMG. His practice was centered in financial institution work. In 1983 he started a CPA and business advisory firm based in the DFW area that became a specialist in financial service organizations. He joined the Summit Alliance organization in 2004, serving as Chief Financial Officer for the affiliated group of companies.

In addition to his duties with the Summit Alliance companies, he is also active in civic and church activities and serves as a member of the board of directors of the AAU Global Foundation.

Mr. Spitzer was born in Waco, Texas and graduated with a BBA in Accounting from the University of Texas at Arlington in 1977. He is a licensed CPA in Texas and is a member of the American Institute of Certified Public Accountants and the Texas Society of Certified Public Accountants.

Cathy Bailey

Vice President, Director of Relationship Management

Cathy has been in the financial services industry for over 20 years. Prior to joining SummitAlliance, she was Vice President, NASDAQ Liaison Trader with Deutsche Bank Alex. Brown in Baltimore Maryland, concentrating on block transactions in Principal OTC Securities. She also served as an Associate for Deutsche Bank Alex. Brown in Dallas, Texas for 9 years before moving to trading.

Cathy attended the University of Kansas in Lawrence, Kansas and Southern Methodist University School of Law in Dallas, Texas. She serves on the Administrative and Leadership Board of Lovers Lane United Methodist Church and is involved in many volunteer activities there.

Cathy holds the Series 7, 63, and 55 licenses.

Josh Reynolds

Vice President, Director of Investment Management

Josh began his career with a Dallas-based financial advisory firm, where his duties included asset management and investment research. Josh is involved in various aspects of the money management process at SummitAlliance Investment Group, L.L.C. His responsibilities include assisting the Chief Investment Officer with portfolio structuring, asset allocation, and sector analysis. Josh is also in charge of performing due diligence on external money managers. Reynolds holds numerous NASD licenses including the Series 7 and 66 and is a Municipal Securities Principal as well as a Registered Options Principal. Additionally, he holds the designation of Chartered Financial Analyst.

Josh earned a Bachelor of Arts degree in Business Administration from Austin College, where he was also a captain on the football team.

A Dallas native, Josh is active in the community as a member of the Dallas Junior Chamber of Commerce and the Austin College Alumni Association.

Jeff Royce

Vice President, Director of Operations

Born and raised in New Hampshire, Jeff Royce attended the University of New Hampshire where he earned his Bachelor's degree in Public Policy Economics. In 1997, upon attaining this honor, Jeff relocated to Boston in order to begin work as a Human Resources Consultant in the technology industry.

After acquiring a base of operational knowledge, Jeff shifted career paths, and in 1998 was offered a position in the mutual funds division at State Street Bank and

Trust. In 1999, having proven his technical prowess and industry knowledge, Jeff was recognized with a promotion to Assistant Account Manager-Senior Custody Specialist. From that time until 2002, Jeff honed his industry, technical, and operational expertise; becoming a mission specialist in accounting and custody mergers, transfer agency conversions, as well as money market, equity, and foreign security mutual funds. During these years, Jeff's knowledge and distinctive aptitude earned him both divisional and corporate honors. In 2002 his efforts were rewarded with a formal advancement to Account Manager. In this role he was assigned the task of revitalizing an under-performing divisional unit. Through remarkable leadership and innovative operational changes, Jeff was able to increase productivity and boost morale, transforming his divisional unit into the underpinning of the client fund family. A persistent desire to continue expanding his financial aptitude resulted in Jeff relocating to Dallas in 2005. Upon reviewing many opportunities he joined the Summit Alliance family.